

MARKET CODE / OPERATIONAL CODE CHANGE PROPOSAL

Form
version 2.1

Change Proposal reference
(To be completed by the TP Sec.)

MCCP111

Version No.

D.1

PART A — SUBMISSION

A.1. GENERAL DETAILS

A.1.a. TITLE

Change in Notifications to the CMA by Scottish Water

A.1.b. COMPANY

Scottish Water

Change Proposals must be authorised by the person designated by the signatory to the Market Code Framework / Accession Agreement

A.1.c. AUTHORISED
SIGNATURE

Jessie McLeman

NAME

Jessie McLeman

A.1.d. CONTACT NAME

Richard Lavery

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A.1.e. ASSOCIATED
MCCP / OCCP

OCCP037-CC
OCCP039

A.1.f. ASSOCIATED
DOCS.

Annex 1: Summary table of CSD Changes

A.1.g. PROPOSED
URGENCY

NON-URGENT

A.1.h. REASONS FOR
URGENCY

The CMA CEO will review this information and make a decision as to whether to take this MCCP / OCCP forward as urgent as defined under Market Code Part 8.9.1

A.2. MCCP / OCCP DETAILS

A.2.a. ISSUE OR DEFECT WHICH THIS MCCP / OCCP SEEKS TO ADDRESS Required under Market Code Parts 8.7.1 (ii) (b) and 8.8.1 (ii) (b)

Following the recent revisions to the Operational Code to enable *inter alia* the use of Accredited Entities by Licensed Providers to undertake certain connections activities (OCCP037-CC), there are now discrepancies between the Operational Code and the Market Code. This Change Proposal is to ensure consistency between the Operational Code and the Market Code for the relevant steps. This Change Proposal additionally proposes that the Market Code is amended to align with OCCP039 submitted to the August meeting of the Technical Panel, namely the transactions which typically require information to be updated following field work.

Processes 1-5 of the Operational Code have been amended to allow Scottish Water five business days instead of two business days to update the Central Systems of a new connection and the associated meter details, where the activity is undertaken by Scottish Water. Where the relevant connection and meter installation have been undertaken by an Accredited Entity on the instruction of a Licensed Provider, the Operational Code allows eight business days for Scottish Water to update the Central Systems.

The extension of the timescales for updating the Central Systems following field work from two to five business days in the case of Scottish Water undertaking the activity, and to eight business days in the other case is to allow for the extra steps where an accredited entity is involved, improve data quality from the field and to align with the process for cyclic meter reads. This Market Code Change Proposal is to align the Operational Code with the Market Code for the relevant activities.

In addition to the earlier changes to Operational Code processes 1-5, Scottish Water is proposing changes to Processes 8-12 and 28, as submitted to the Technical Panel under separate cover.

This Market Code Change Proposal therefore addresses the implications for the Market Code of the notification changes to Processes 1-5, 8-12 and 28 of the Operational Code.

Scottish Water is not proposing changes to the Market Code relating to data updates arising from processes such as allowances, temporary disconnections, reconnections, permanent disconnections or deregistrations.

A.2.b. DESCRIPTION OF THE NATURE AND PURPOSE OF THE MCCP / OCCP AND HOW IT MEETS THE MARKET CODE / OPERATIONAL CODE OBJECTIVES AND PRINCIPLES FOR THE MARKET DOCUMENTS Required under Market Code Parts 8.7.1 (ii) (c) and 8.8.1 (ii) (c)

General Description

The Operational Code allows Scottish Water five Business Days to notify the CMA of a connection and the associated meter details in cases where Scottish Water has undertaken the activity; and eight Business Days to notify the CMA where the activity has been undertaken by an Accredited Entity under the instruction of a Licensed Provider.

It is recognised that the CMA currently has no visibility of who has completed the work, whether Scottish Water or an Accredited Entity. MCCP113 addresses this issue by creating new data items in the Central Systems.

The Technical Panel is asked to amend the Market Code to extend the notification period applying to new connections and the associated meter installation to align with the Operational Code processes 1-5; and agree changes to the Market Code to align with proposed changes to the Operational Code process 8-12 and 28, noting that further changes may be required from 1 April 2013 on the implementation of Commission Changes to metering processes. The proposed changes to the Market Code allow Scottish Water five Business Days to notify the CMA in cases where Scottish Water has undertaken an activity; and eight Business Days to notify the CMA where the activity has been undertaken by an Accredited Entity under the instruction of a Licensed Provider, consistent with the Operational Code.

The proposed changes to the Performance Standards, attached in Annex 1, reflect an increase of 3 Business Days for activities undertaken by Scottish Water, and 6 Business Days for activities undertaken by an Accredited Entity, consistent with the other Market Code and Operational Code changes.

Principles and Objectives affected

PRINCIPLE	AFFECTED (Y/N)	DESCRIPTION
Proportionality	Y	Support introduction of Accredited Entities and improved data quality.
Transparency	N	
Simplicity, Cost-effectiveness, and Security	Y	Straightforward and transparent change
Non-exclusivity	N	
Barriers to Entry	N	
Customer Contact	N	
Non-discrimination	N	
Non-detrimental to SW Core Functions	N	This will ensure Scottish Water are able to meet KPIs for updating Central Systems where Accredited Entities are involved.

MC / OC OBJECTIVES		
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A.2.c. IMPACT Required under Market Code Parts 8.7.1 (ii) (d), (f) and (g), and 8.8.1 (ii) (d) and (f)		
CONFIGURED ITEM	IMPACTED (Y/N)	DESCRIPTION
MC / OC	Y	Changes required to the Operational and Market Codes
CSDs	Y	As set out in attached table.
Wholesale Services Agreements	N	
Licenses	N	
CMA Central Systems	N	Subject to confirmation by CMA
CMA business processes	Y	Performance Standards will be affected
Trading Party systems	N	Subject to confirmation
Trading party business processes	Y	Timescales may be affected but processes will be unchanged

A.2.d. DRAFT LEGAL TEXT
Required under Market Code Parts 8.7.1 (ii) (d) and 8.8.1 (ii) (d)

Detailed legal drafting is outlined here and in the attached table show where changes will be required to ensure alignment with the Operational Code.

Market Code

5.4.5 Confirmation of Connection for New Connections

Trading Parties shall notify the CMA of the Connection Date for each New Connection within **five (5) Business Days after the Connection Date where Scottish Water has undertaken the activity or eight (8) Business Days after the Connection Date where an Accredited Entity has undertaken the activity** ~~two (2) Business Days~~ in accordance with CSD 0101 (Registration: New Connections & New Supply Points) and the CMA shall confirm the Connection Date to the Licensed Provider..

CSDs

The affected CSDs and relevant steps are listed in the table attached to this Change Proposal. The CSDs are CSD0002, CSD0101, CSD0104, CSD0106 and CSD0202.

A.3. IMPLEMENTATION DETAILS

A.3.a. PROPOSED IMPLEMENTATION DATE OR LEAD TIME

Timescale must not overlap with the period of consultation with the Commission and should take account of the impacts identified in Section A.2.c. Any quoted lead time should commence from date of Approval.

The proposed implementation date is the next publication of the Market Code by the CMA.

A.3.b. ANY LIMITATIONS OR DEPENDENCIES FOR IMPLEMENTATION

A.4. ANY OTHER COMMENTS

WITHDRAWN BY PROPOSER?	YES / NO
COMMENTS	
DATE OF WITHDRAWAL	

PART C — COMMISSION APPROVAL

C.1. DATE FINAL REPORT ISSUED TO COMMISSION	2013-03-07
C.2. APPROVAL STATUS	APPROVED CHANGE
C.3. DATE OF APPROVAL STATUS	2013-03-22
C.4. COMMISSION RESPONSE REFERENCE	

PART D — IMPLEMENTATION

D.1. IMPLEMENTATION DATE	2013-04-11
D.2. IMPLEMENTATION DETAILS (MC version, CSD versions, CMA Central Systems release number, etc.)	