

# MARKET CODE / OPERATIONAL CODE CHANGE PROPOSAL

Form  
version 2.1

Change Proposal reference  
(To be completed by the TP Sec.)

**OCCP061**

Version No.

**D.1**

## PART A — SUBMISSION

### A.1. GENERAL DETAILS

A.1.a. TITLE Trade Effluent changes to Form H.

A.1.b. COMPANY Scottish Water

Change Proposals must be authorised by the person designated by the signatory to the Market Code Framework / Accession Agreement

A.1.c. AUTHORISED SIGNATURE NAME Belinda Oldfield

A.1.d. CONTACT NAME Alistair Gardner CONTACT EMAIL; TEL./MOB. [alistair.gardner@scottishwater.co.uk](mailto:alistair.gardner@scottishwater.co.uk)  
07875 873693

A.1.e. ASSOCIATED MCCP / OCCP N/A

A.1.f. ASSOCIATED DOCS. OCCP061 Annex1 changes to Form H.doc

A.1.g. PROPOSED URGENCY NON-URGENT

A.1.h. REASONS FOR URGENCY

The CMA CEO will review this information and make a decision as to whether to take this MCCP / OCCP forward as urgent as defined under Market Code Part 8.9.1

A.2. MCCP / OCCP DETAILS

A.2.a. ISSUE OR DEFECT WHICH THIS MCCP / OCCP SEEKS TO ADDRESS  
Required under Market Code Parts 8.7.1 (ii) (b) and 8.8.1 (ii) (b)

Form H is used by Licensed Providers to submit applications for Trade Effluent on behalf of their customers. The form is detailed in the Appendix to the Operational Code and is submitted to SW electronically via the Customer Portal. The form is used for all types of Trade Effluent application (New Consent, Consent Review, etc) with the LP selecting the type of application by ticking the appropriate box.

There have been instances of LPs not selecting the correct application type on the Customer Portal which necessitates re submission. The proposed changes are intended to make the application submission process more transparent thereby reducing errors and minimising any delay in processing the customer's request.

A.2.b. DESCRIPTION OF THE NATURE AND PURPOSE OF THE MCCP / OCCP AND HOW IT MEETS THE MARKET CODE / OPERATIONAL CODE OBJECTIVES AND PRINCIPLES FOR THE MARKET DOCUMENTS Required under Market Code Parts 8.7.1 (ii) (c) and 8.8.1 (ii) (c)

General Description

The purpose of the changes is to provide clarity to market participants and in order to do this SW seeks approval for the following :-

1. Changes to Form H to ensure that the text describing the type of Trade Effluent application is aligned to that of the Customer Portal submission form.
2. Addition of Discharge Point ID (DPID) to be quoted for all applications other than New TE Consent. This is to clarify to which DPID the application relates should there be more than one DPID at a SPID.
3. Removal of the option to request a Temporary Consent. SW determines whether or not a Temporary Consent is appropriate based on all the information provided in the application. There have been occasions when SW has determined that a full Consent was required even when the applicant had selected Temporary Consent which can lead to confusion for the applicant and the LP.
4. The addition of explanatory text to make it clear that Discontinuations are temporary and Terminations are permanent. There have been situations when applicants have selected Discontinuation when in fact a Termination was required.

The LPs have been consulted regarding the proposed changes and no comments have been received.

Principles and Objectives affected

PRINCIPLE	AFFECTED (Y/N)	DESCRIPTION
Proportionality	Y	The proposed documentation changes would provide clarity to all Market Participants on the type of application to submit.
Transparency	Y	The proposed documentation changes enhance transparency and clarity of the application type.
Simplicity, Cost-effectiveness, and Security	Y	The proposed documentation changes are simpler in that they are a change to the text in the Operational Code only.
Non-exclusivity		N/A
Barriers to Entry		N/A
Customer Contact		N/A

Non-discrimination		N/A
Non-detrimental to SW Core Functions		N/A
MC / OC OBJECTIVES		

A.2.c. IMPACT Required under Market Code Parts 8.7.1 (ii) (d), (f) and (g), and 8.8.1 (ii) (d) and (f)		
CONFIGURED ITEM	IMPACTED (Y/N)	DESCRIPTION
MC / OC	Y	Operational Code changes included below
CSDs	N	No
Wholesale Services Agreements	N	No
Licenses	N	No
CMA Central Systems	N	No
CMA business processes	N	No
Trading Party systems	N	No
Trading party business processes	N	No

A.2.d. DRAFT LEGAL TEXT Required under Market Code Parts 8.7.1 (ii) (d) and 8.8.1 (ii) (d)
Change to Form H of the Operational Code included in Annex.
A.3. IMPLEMENTATION DETAILS
A.3.a. PROPOSED IMPLEMENTATION DATE OR LEAD TIME Timescale must not overlap with the period of consultation with the Commission and should take account of the impacts identified in Section A.2.c. Any quoted lead time should commence from date of Approval.
To be implemented in the next change to the Operational Code
A.3.b. ANY LIMITATIONS OR DEPENDENCIES FOR IMPLEMENTATION

A.4. ANY OTHER COMMENTS

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**PART B — TP ASSESSMENT**

B.1. ASSESSMENT PROCESS

B.1.a. ASSESSMENT START DATE	2019-04-09	ASSESSMENT END DATE	2019-04-18
B.1.b. IMPACT ASSESSMENT REQUIREMENT	IA NOT REQUIRED		
B.1.c. CONSULTATION REQUIREMENT	TP CONSULTATION NOT REQUIRED		
B.1.d. ASSOCIATED DOCUMENTS (to this Part B)			

B.2. ASSESSMENT DETAILS

B.2.a. CHANGE SPECIFICATION AND IMPACT (if different from that originally submitted)

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B.2.b. DRAFT LEGAL TEXT (if different from that originally submitted)

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B.2.c. TP ASSESSMENT  
Taking into account complexity, importance and urgency, and having regard to whether or not such proposal is within the relevant Objectives and Principles as required under Market Code Parts 8.7.1 (v) and 8.8.1 (iv)

Impact on Principles and Objectives (if different from that originally submitted)

Cost Estimate

Benefit Estimate  
(L: < 10k, M: £10k to £100k, H: > £100k)

B.3. TP DECISION

TP APPROVED

B.4. FINAL TP VIEWS	Unanimously approved
B.5. PLANNED IMPLEMENTATION DATE	1 July 2019

WITHDRAWN BY PROPOSER?	No
COMMENTS	
DATE OF WITHDRAWAL	

### PART C — COMMISSION APPROVAL

C.1. DATE FINAL REPORT ISSUED TO COMMISSION	2019-05-01
C.2. APPROVAL STATUS	APPROVED CHANGE
C.3. DATE OF APPROVAL STATUS	2019-05-29
C.4. COMMISSION RESPONSE REFERENCE	

### PART D — IMPLEMENTATION

D.1. IMPLEMENTATION DATE	2019-07-01
D.2. IMPLEMENTATION DETAILS (MC version, CSD versions, CMA Central Systems release number, etc.)	
Operational Code v32	