

MARKET CODE / OPERATIONAL CODE CHANGE PROPOSAL

Form
version 2.2

Change Proposal reference
(To be completed by the TP Sec.)

MCCP165

Version No.

D.1

PART A — SUBMISSION

A.1. GENERAL DETAILS

A.1.a. TITLE Performance Measures for SPID Transfers

A.1.b. COMPANY AWBN

Change Proposals must be authorised by the person designated by the signatory to the Market Code Framework / Accession Agreement

A.1.c. AUTHORISED SIGNATURE NAME Kevin Ensell

A.1.d. CONTACT NAME Kevin Ensell CONTACT EMAIL;
TEL./MOB. kensell@anglianwater.co.uk
01429 858008
07961 380372

A.1.e. ASSOCIATED
MCCP / OCCP

A.1.f. ASSOCIATED
DOCS. Indicative User Requirements vB5

A.1.g. PROPOSED
URGENCY NON-URGENT

A.1.h. REASONS FOR
URGENCY

The CMA CEO will review this information and make a decision as to whether to take this MCCP / OCCP forward as urgent as defined under Market Code Part 8.9.1

A.2. MCCP / OCCP DETAILS		
A.2.a. ISSUE OR DEFECT WHICH THIS MCCP / OCCP SEEKS TO ADDRESS Required under Market Code Parts 8.7.1 (ii) (b) and 8.8.1 (ii) (b)		
<p><u>R9D and R9E (Late Meter Reads)</u> Currently a Transfer meter read must be taken within +/- 2 business days of the Registration Start Date. In addition, the Transfer meter read must be received by the CMA within 2 business days of the meter read (R9D) otherwise there is a Performance Charge of £25. If the Transfer meter read is still not received by the CMA within 10 business days of the meter read an additional £15 (on top of the previous £25) is levied (R9E). If no Transfer meter read is submitted at all, the R9D and R9E Performance Charges do not apply, but the R10 Performance Measures may apply, depending on general meter read submission timescales. Whilst these Performance Charges do incentivise timely submission of a transaction after a read has been obtained, there is no incentive to take such Transfer meter reads within a reasonable time from the Registration Start Date In addition, estimated Transfer meter reads (S Reads) are soon to be introduced to the market arrangements and these are currently excluded from the R9 Performance Measures.</p>		
A.2.b. DESCRIPTION OF THE NATURE AND PURPOSE OF THE MCCP / OCCP AND HOW IT MEETS THE MARKET CODE / OPERATIONAL CODE OBJECTIVES AND PRINCIPLES FOR THE MARKET DOCUMENTS Required under Market Code Parts 8.7.1 (ii) (c) and 8.8.1 (ii) (c)		
General Description		
<p><u>R9D and R9E (Late Meter Reads).</u> It is proposed that the R9D and R9E Performance Measures should define success for both Transfer meter reads and estimated Transfer reads being received by the CMA within 5 business days of the Registration Start Date. Where this is not achieved a Performance Charge of £25 will be levied. If the Transfer meter read or estimated Transfer read is still not received by the CMA within 10 business days of the Registration Start Date an additional £15 (on top of the previous £25) is levied.</p> <p><u>R10A and R10B (Missed Meter Reads).</u> In order to ensure that the R10 measures do not duplicate a Performance Charge when a Transfer meter read or an estimated Transfer read is late or missed (since these circumstances would be covered by the modified R9D and R9E measures), the R10A and R10B measures should be modified such that a Registration Start Date should constitute a 're-set' for the R10 measures.</p> <p>Indicative User Requirements are given in Annex 1.</p>		
Principles and Objectives affected CMA Guidance Note GN009 may be referred to for assistance with this section		
PRINCIPLE	AFFECTED (Y/N)	DESCRIPTION
Proportionality	Y	The financial benefits could be significant to incoming LPs, particularly as customer switching increases, whilst the costs of the proposal are not extensive.
Transparency	Y	This will improve transparency for all parties by strengthening the incentive to provide a timely Transfer read, or estimated Transfer

		read.
Simplicity, Cost-effectiveness, and Security	Y	The enhanced likelihood that Transfer meter reads are submitted in a timely fashion, relative to the actual transfer will lead to a simplification of the transfer process.
Non-exclusivity	N	
Barriers to Entry	N	
Customer Contact	N	
Non-discrimination	N	
Non-detrimental to SW Core Functions	N	
MC / OC OBJECTIVES		

A.2.c. IMPACT Required under Market Code Parts 8.7.1 (ii) (d), (f) and (g), and 8.8.1 (ii) (d) and (f)		
CONFIGURED ITEM	IMPACTED (Y/N)	DESCRIPTION
MC / OC	N	
CSDs	Y	CSD0002 will be amended as set out below
Wholesale Services Agreements	N	
Licenses	N	
CMA Central Systems	Y	The CMA CS will need to be modified to reflect the above requirements.
CMA business processes	N	
Trading Party systems	N	
Trading party business processes	N	

A.2.d. DRAFT LEGAL TEXT
Required under Market Code Parts 8.7.1 (ii) (d) and 8.8.1 (ii) (d)

Table 1 in CSD0002 should be amended, as follows:

R9D	Late Meter Reads	LP	The T005.1 (Meter Read) is received by the CMA within <u>25</u> BDs of the latest Registration Start Date submitted via a T003.1 transaction for the associated Supply Point, effective date contained within the transaction in respect of T <u>and S</u> reads.	Level 2
R9E	Late Meter Reads	LP	The T005.1 (Meter Read) is received by the CMA within 10BDs of the latest Registration Start Date submitted via a T003.1 transaction for the associated Supply Point, effective date contained within the transaction in respect of T <u>and S</u> reads.	Level 3 – Level 2
R10A	Missed Meter Reads	LP	Success if a bi-annually read meter is read within 160 BDs(excluding periods of vacancy) of the later of: <ul style="list-style-type: none"> • 31st December 2010 • The last meter read for that meter • The date of submission of an I or O read • <u> </u>The date the last Performance Charge (if any) was applied in respect of measure R10A for this meter. • The last Registration Start Date for the associated Supply Point. 	Level 3
R10B	Missed Meter Reads	LP	Success if a monthly read meter is read within 40 BDs(excluding periods of vacancy) of the later of: <ul style="list-style-type: none"> • 31st March 2011 • The last meter read for that meter • The date of submission of an I or O read • <u> </u>The date the last Performance Charge (if any) was applied in respect of measure R10B for this meter. • The last Registration Start Date for the associated Supply Point. 	Level 3

A.3. IMPLEMENTATION DETAILS

A.3.a. PROPOSED IMPLEMENTATION DATE OR LEAD TIME
 Timescale must not overlap with the period of consultation with the Commission and should take account of the impacts identified in Section A.2.c. Any quoted lead time should commence from date of Approval.

September 2015

A.3.b. ANY LIMITATIONS OR DEPENDENCIES FOR IMPLEMENTATION

A.4. ANY OTHER COMMENTS

PART B — TP ASSESSMENT

B.1. ASSESSMENT PROCESS

B.1.a. ASSESSMENT START DATE	2015-02-12	ASSESSMENT END DATE	2015-02-19
------------------------------	------------	---------------------	------------

B.1.b. IMPACT ASSESSMENT REQUIREMENT
 IA NOT REQUIRED

B.1.c. CONSULTATION REQUIREMENT
 TP CONSULTATION NOT REQUIRED

B.1.d. ASSOCIATED DOCUMENTS
 (to this Part B)

B.2. ASSESSMENT DETAILS

B.2.a. CHANGE SPECIFICATION AND IMPACT
 (if different from that originally submitted)

B.2.b. DRAFT LEGAL TEXT
 (if different from that originally submitted)

B.2.c. TP ASSESSMENT
 Taking into account complexity, importance and urgency, and having regard to whether or not such proposal is within the relevant Objectives and Principles as required under Market Code Parts 8.7.1 (v) and 8.8.1 (iv)

Impact on Principles and Objectives (if different from that originally submitted)	
Cost Estimate	£11k
Benefit Estimate (L: < 10k, M: £10k to £100k, H: > £100k)	
B.3. TP DECISION	TP APPROVED
B.4. FINAL TP VIEWS	
B.5. PLANNED IMPLEMENTATION DATE	September 2015

WITHDRAWN BY PROPOSER?	YES / NO
COMMENTS	
DATE OF WITHDRAWAL	

PART C — COMMISSION APPROVAL	
C.1. DATE FINAL REPORT ISSUED TO COMMISSION	2015-02-26
C.2. APPROVAL STATUS	APPROVED CHANGE
C.3. DATE OF APPROVAL STATUS	2015-03-27
C.4. COMMISSION RESPONSE REFERENCE	

PART D — IMPLEMENTATION	
D.1. IMPLEMENTATION DATE	2015-09-24
D.2. IMPLEMENTATION DETAILS (MC version, CSD versions, CMA Central Systems release number, etc.)	
Software Release 4.3	