

MARKET CODE CHANGE PROPOSAL			Draft Form Version 1.2	
Market Code Change Proposal Ref (Assigned by CMA)		MCCP 086	Version Number (Assigned by CMA)	Version D.1
Title of the change		Reporting on New and Partial Supply Points		
1. GENERAL DETAILS		Proposers are reminded that Change Proposals must be countersigned by the Proposer's Contract Manager or the person designated by the signatory to the Market Code Framework /Accession Agreement		
Company:	Scottish Water		Org ID if assigned:	
Signature:			Date:	27/07/2011
		Name:		Jessie McLeman
Contact details for the Proposal - the contact should be able to deal with queries regarding this Market Code Change Proposal and need not be the same person who has countersigned the Change Proposal				
Name:		Richard Lavery		
Email Address:		richard.lavery@scottishwater.co.uk		
Telephone and or Mobile:		07875 873845		
Number of Associated Documents	00	Name or link to documents		
If the MCCP will also affect the Operational Code, an OCCP must also be raised				
Indicate if there is an associated OCCP		No	OCCP Ref: CMA use only	
URGENT – IF PROPOSER HAS INDICATED THIS MCCP IS URGENT, STATE REASONS HERE The CMA Chief Executive will review this information and make a decision as to whether to take this MCCP forward as urgent as defined as under Market Code Part 8.7.1 (ii) (e)				
2. MARKET CODE CHANGE PROPOSAL DETAILS				
A	ISSUE or DEFECT WHICH THIS MARKET CODE CHANGE PROPOSAL SEEKS TO ADDRESS required under Market Code Part 8.7.1 (ii) (b)			

The Gap Site, New Connection and Change of Use processes are used to create new Supply Points in settlement. In both cases, between the point where the initial T001 transaction is processed and completion of the process, a Supply Point exists in the Central Systems with a status of either 'new' or 'partial'. Although a SPID has been allocated to such Supply Points, they do not yet appear in settlement reports or generate wholesale charges.

Previous advice from the CMA has indicated that a 'new' or 'partial' Supply Point can exist in a number of different states depending on which of the Scottish Water and Licensed Provider steps in the Gap Site or New Connection process have been completed and in which sequence. The necessary steps to complete the registration of a 'new' or 'partial' Supply Point so that it appears in settlement and generates a wholesale charge varies with each of these states.

At present it can be difficult for Trading Parties to identify the exact state of a 'new' or 'partial' Supply Point and the actions required by Scottish Water and the Licensed Provider to complete the Gap Site or New Connection process.

B	DESCRIPTION OF NATURE AND PURPOSE OF THE CHANGE AND HOW IT MEETS THE MARKET CODE OBJECTIVES AND PRINCIPLES FOR THE MARKET DOCUMENTS required under Market Code Part 8.7.1 (ii) (c)
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The Proposer should indicate which principles the change supports and whether there is any adverse effect on any principle(s).

- | | |
|--|---|
| a) Proportionality | e) Barriers to entry |
| b) Transparency | f) Customer contact |
| c) Simplicity, cost-effectiveness and security | g) Non-discrimination |
| d) Non-exclusivity | h) Not detrimental to Scottish Water's core functions |

It is proposed that the CMA develop a new report for Trading Parties providing additional details on the state of all Supply Points with a status of 'new' or 'partial' in the Central Systems.

Such a report would provide information relating to the exact state of such Supply Points which would assist Trading Parties in identifying the necessary remaining steps to complete the registration or de-registration process as appropriate.

This may include details of which process steps have been completed so far and the dates on which they were processed.

The change would support the principles of:

- a) Proportionality
- b) Transparency
- c) Simplicity, cost-effectiveness and security
- d) Non-exclusivity

C	IMPACT – required under Market Code Part 8.7.1 (ii) (d), (f) and (g)
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The Proposer should indicate the sections of the Market Code affected, whether the Operational Code or CSDs, Wholesale Services Agreement or License is impacted and whether there are likely to be implications on:

- | | |
|----------------------------|---------------------------------------|
| a) Central Systems | c) CMA Interfaces/ Processes |
| b) Trading Party's systems | d) Trading Party's business processes |

It is proposed that the new report would be added to CSD0302 Standing Reports and Data Extracts. The impact of the change would be as follows:

- a) Central Systems – the change will require the creation of a new standing report in the Central Systems
- b) Trading Party's systems – the change should have no impact on Trading Party's systems
- c) CMA Interfaces/Processes – the change will have an impact on CMA Interfaces/Processes
- d) Trading Party's business processes – the change will not have a direct impact on Trading Party's business processes but should facilitate the Gap Site and New Connections processes

D	DRAFT LEGAL TEXT – required under Market Code Part 8.7.1 (ii) (d)
See attachment.	
3. IMPLEMENTATION DETAILS - PROPOSED IMPLEMENTATION DATE OR LEAD TIME	
Timescale must not overlap with the period of consultation with the Commission and should take account of the impacts identified in Section C. Any quoted lead time should commence from date of approval.	
The implementation date will be the date of the next release of the Market Code following Approval by the Commission	
4. ANY OTHER COMMENTS	

The full text of the objectives and principles for the Market Code are set out in The Water Services (Codes and Services) Directions 2007 which can be downloaded from the Commission's website (http://www.watercommissioner.co.uk/view_Directions.aspx)

PART B –TP ASSESSMENT

1. ASSESSMENT PROCESS				
a.	ASSESSMENT START DATE	2011-08-18	ASSESSMENT END DATE	2011-09-21
b.	IMPACT ASSESSMENT REQUIREMENT	IA NOT REQUIRED		
c.	CONSULTATION REQUIREMENT	TP CONSULTATION NOT REQUIRED		
d.	ASSOCIATED DOCUMENTS (to this Part B)	Additional Section to be added to CSD 0302, attached.		
2. ASSESSMENT DETAILS				
a.	CHANGE SPECIFICATION AND IMPACT			
Report to be provided, as per CSD drafting.				
b.	DRAFT LEGAL TEXT (if different from that originally submitted)			
As per CSD.				
c.	TP ASSESSMENT taking into account complexity, importance and urgency and having regard to whether or not such proposal is within the relevant Objectives and Principles as required under Market Code Parts 8.7.1 (v) and 8.8.1 (iv)			
Notional Cost; Modest, given that the report does not need to be developed within the core system. Assessment; As above.		Benefits; Removes complex analysis to enable NAPS to be recognised and to identify the status of such NAPS. This facilitates removal of SPIDs that need to be de-registered and the progression of NAPS to Tradable status, resulting in improved data quality.		
3.	TP DECISION	APPROVED		
4.	FINAL TP VIEWS			
5.	PLANNED IMPLEMENTATION DATE	November 2011		

WITHDRAWN BY PROPOSER?	NO
COMMENTS	
DATE OF WITHDRAWAL	

PART C – APPROVAL		
1.	DATE FINAL REPORT ISSUED TO COMMISSION	2011-10-05
2.	APPROVAL STATUS	APPROVED CHANGE
3.	DATE OF APPROVAL STATUS	2011-10-20
4.	COMMISSION RESPONSE REFERENCE	Commission Approval - 111020 Second letter to CMA re TP meeting on 110818.pdf

PART D – IMPLEMENTATION		
1.	IMPLEMENTATION DATE	2011-11-08
2.	IMPLEMENTATION DETAILS (MC version, CSD versions, CMA Central System Release Number, etc.)	
<p>Legal implementation: CSD0302 v2</p> <p>Central Systems (CS) implementation: implemented as a report outwith the standard six-month CS releases.</p>		