

# MARKET CODE / OPERATIONAL CODE CHANGE PROPOSAL

Form  
version 2.1

Change Proposal reference  
(To be completed by the TP Sec.)

**OCCP044**

Version No.

**C.1**

## PART A — SUBMISSION

### A.1. GENERAL DETAILS

A.1.a. TITLE

Operational Code; Enhancements to Form E - Application for a Development Impact Assessment

A.1.b. COMPANY

Scottish Water

Change Proposals must be authorised by the person designated by the signatory to the Market Code Framework / Accession Agreement

A.1.c. AUTHORISED  
SIGNATURE

NAME

Jessie McLeman

A.1.d. CONTACT  
NAME

Jessie McLeman

CONTACT EMAIL;  
TEL./MOB.

jessie.mcleman@scottishwater.co.uk  
07875 872 123

A.1.e. ASSOCIATED  
MCCP / OCCP

N/A

A.1.f. ASSOCIATED  
DOCS.

Form E – Application for a Development Impact Assessment

A.1.g. PROPOSED  
URGENCY

NON-URGENT

A.1.h. REASONS FOR  
URGENCY

N/A

The CMA CEO will review this information and make a decision as to whether to take this MCCP / OCCP forward as urgent as defined under Market Code Part 8.9.1

## A.2. MCCP / OCCP DETAILS

### A.2.a. ISSUE OR DEFECT WHICH THIS MCCP / OCCP SEEKS TO ADDRESS Required under Market Code Parts 8.7.1 (ii) (b) and 8.8.1 (ii) (b)

Scottish Water is proposing revisions to Form E to reflect improvements in the methodology for carrying out a Development Impact Assessment (DIA).

Feedback from users and customers has been that the current form needs to be improved to ensure that all the necessary information to allow an assessment should be captured first time.

The proposed changes will allow Scottish Water to make a more accurate assessment of the capacity requirements of a development, in line with recent improvements to the equivalent form used for the household market. It will ensure that as much information as possible is captured from the outset, improving the customer experience as communication between business customers, Licensed Providers and Scottish Water is streamlined.

The proposed changes will provide for a clear separation of the average and peak demand and discharge requirements, both pre and post development, and capture additional information such as details of potential Trade Effluent discharges and drainage systems on site.

The Operational Code processes affected by this change proposal are:

- Process 1 - Application for an individual premises water connection (a "Part 1" Water Connection)
- Process 2 - Application for an individual premises sewerage connection (a "Part 1" Sewerage Connection)
- Process 3 - Application for a water mains/trunk main/service reservoir connection (a "Part 2/3" Water Connection) accompanied by application for one or more individual premises water connections (a "Part 1" Water Connection)
- Process 4 - Process 4 - Application for a sewerage mains/trunk sewer/waste water system connection (a "Part 2/3" Sewerage Connection) accompanied by an application for an individual premises sewerage connection (a "Part 1" Sewerage Connection)
- Process 14 - Developer enquiries

A.2.b. DESCRIPTION OF THE NATURE AND PURPOSE OF THE MCCP / OCCP AND HOW IT MEETS THE MARKET CODE / OPERATIONAL CODE OBJECTIVES AND PRINCIPLES FOR THE MARKET DOCUMENTS Required under Market Code Parts 8.7.1 (ii) (c) and 8.8.1 (ii) (c)

General Description

The purpose of this OCCP is to make improvements to Form E – Application for a Development Impact Assessment.

The key changes are summarised as follows:

- Clear distinction between greenfield and brownfield developments
- Information on previous use at the site is requested for brownfield developments
- Clear distinction between average and peak demand and discharge requirements
- a Separate section for surface water drainage, which was previously included in the waste water details section
- A Surface Water Design section has been added, for capturing details of Sustainable Urban Drainage Systems
- A section has been added for capturing details of Trade Effluent to highlight the intent to discharge. This section does not replace Form H - Application for a New or Modification to an Existing Consent to Discharge Trade Effluent to the Public Sewerage System.

Principles and Objectives affected

PRINCIPLE	AFFECTED (Y/N)	DESCRIPTION
Proportionality	Y	Enables improvements in Development Impact Assessment methodology.
Transparency	Y	
Simplicity, Cost-effectiveness, and Security	Y	
Non-exclusivity	Y	
Barriers to Entry	N	
Customer Contact	Y	Enables 'getting it right first time'
Non-discrimination	Y	
Non-detrimental to SW Core Functions	Y	
MC / OC OBJECTIVES		

A.2.c. IMPACT Required under Market Code Parts 8.7.1 (ii) (d), (f) and (g), and 8.8.1 (ii) (d) and (f)		
CONFIGURED ITEM	IMPACTED (Y/N)	DESCRIPTION
MC / OC	Y	Operational Code Form E – Application for a Development Impact Assessment
CSDs	N	
Wholesale Services Agreements	N	
Licenses	N	
CMA Central Systems	N	
CMA business processes	N	
Trading Party systems	Y	The changes may impact on the systems of Trading Parties who capture the data in the Form E in a structured manner.
Trading party business processes	Y	The change may impact on existing business processes of Trading Parties where Form E is involved. There is no change to the Operational Code processes which use Form E.

A.2.d. DRAFT LEGAL TEXT Required under Market Code Parts 8.7.1 (ii) (d) and 8.8.1 (ii) (d)
The proposed changes to Form E are outlined in the Appendix to this Change Proposal.
A.3. IMPLEMENTATION DETAILS
A.3.a. PROPOSED IMPLEMENTATION DATE OR LEAD TIME Timescale must not overlap with the period of consultation with the Commission and should take account of the impacts identified in Section A.2.c. Any quoted lead time should commence from date of Approval.
The implementation date will be the date of the next release of the Operational Code following approval by the Commission.
A.3.b. ANY LIMITATIONS OR DEPENDENCIES FOR IMPLEMENTATION
A.4. ANY OTHER COMMENTS

## PART B — TP ASSESSMENT

### B.1. ASSESSMENT PROCESS

B.1.a. ASSESSMENT START DATE	2013-10-17	ASSESSMENT END DATE	2013-10-17
B.1.b. IMPACT ASSESSMENT REQUIREMENT		IA NOT REQUIRED	
B.1.c. CONSULTATION REQUIREMENT		TP CONSULTATION NOT REQUIRED	
B.1.d. ASSOCIATED DOCUMENTS (to this Part B)		Annex 1	

### B.2. ASSESSMENT DETAILS

B.2.a. CHANGE SPECIFICATION AND IMPACT (if different from that originally submitted)

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B.2.b. DRAFT LEGAL TEXT (if different from that originally submitted)

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B.2.c. TP ASSESSMENT  
Taking into account complexity, importance and urgency, and having regard to whether or not such proposal is within the relevant Objectives and Principles as required under Market Code Parts 8.7.1 (v) and 8.8.1 (iv)

Impact on Principles and Objectives (if different from that originally submitted)	
Cost Estimate	
Benefit Estimate (L: < 10k, M: £10k to £100k, H: > £100k)	

B.3. TP DECISION TP APPROVED

B.4. FINAL TP VIEWS

B.5. PLANNED IMPLEMENTATION DATE December 2013

WITHDRAWN BY PROPOSER?	No
COMMENTS	
DATE OF WITHDRAWAL	

### **PART C — COMMISSION APPROVAL**

C.1. DATE FINAL REPORT ISSUED TO COMMISSION	2013-11-07
C.2. APPROVAL STATUS	APPROVED CHANGE
C.3. DATE OF APPROVAL STATUS	2013-11-26
C.4. COMMISSION RESPONSE REFERENCE	

### **PART D — IMPLEMENTATION**

D.1. IMPLEMENTATION DATE	yyyy-mm-dd
D.2. IMPLEMENTATION DETAILS (MC version, CSD versions, CMA Central Systems release number, etc.)	