
Technical Panel Meeting, 15th December 2010

Approved Minute

Attendees

Brian Saunders (BS) - CMA (Chair)
Hazel McKinley (HM) - CMA
James Bream (JB) - Business Stream
Jessie McLeman (JMCL) - Scottish Water
Amanda Hancock (AH) – CMA (TP Secretary)
Kevin Ensell (KE) – Osprey
Alastair Ross - Satec
David Walters (DW) - Commission

1. Minute

The minute of the meeting of 21st October 2010 was approved.

2. Actions and Administrative Update

AH provided an update on the action log.

AH advised that AP116 is progressing and the CMA were aiming to produce a draft report before the next TP meeting.

AH highlighted that she was awaiting feedback from Participants on this Action. JMCL advised that Scottish Water need to confirm numbers.

AH advised that the documentation for AP118 had been submitted and she will circulate to TP members for feedback.

AH confirmed that initial discussions in regards to AP119 had taken place with the CMA Service Provider. Discussions are ongoing and CMA advised that there should be further information available for the next TP meeting.

AH advised that AP120 was ongoing.

AH advised that AP121 and AP123 were ongoing and would be discussed in Section 3 of the meeting.

AH confirmed that no Change Proposals had been withdrawn at or since the last TP meeting.

AH confirmed that no Change Proposals had been agreed or implemented at or since the last TP meeting.

AH confirmed that no Commission Changes had been implemented since the last TP Meeting.

AH confirmed that no new Commission Changes had been introduced since the last TP meeting.

AH confirmed that there had been no new Bulletins or Guidance Notes issued since the last TP meeting.

3. Change Proposals in Progress

MCCP051 – Notification to Scottish Water of Changes in Vacancy Status

AH advised that discussions had been ongoing around the requirements of the report, and that they had recently been agreed. The CMA would produce a draft report which will be circulated to TP members before the next TP meeting in February.

MCCP052 – De-Registration Transaction Flows, and OCCP030 – De-Registration Process

MCCP052

AH advised that the CMA had now received the documents from Scottish Water and took an action to circulate to members for feedback before the next TP meeting.

AP125 CMA to issue separate MCCP documents to TP for feedback.

OCCP30

JMcL confirmed that the changes to Form O as discussed at the last TP meeting in October had been implemented. JB expressed concern that the new form showed the majority of fields showing as mandatory information when this hadn't previously been discussed. JMcL advised that this shouldn't be the case and that perhaps there had been a formatting issue. The TP unanimously approved the change subject to the formatting corrections. AH agreed to circulate the proposal with the changes.

AP126 AH to circulate changes to OCCP030.

MCCP053 – Enduring Rollover Solution

AH advised that feedback on the proposal had been received from all but one TP member. As the deadline for feedback had now passed, the assumption was that the changes are agreed. AH commented that the CMA will circulate a specification of what will be implemented in the proposal. The TP unanimously approved the change and AH advised that she would send out the updated rollover document for formal approval before the 24th December 2010.

AP127 AH to circulate updated rollover document to TP members by 24th December for approval.

OCCP031 – Changes to Process 28 and Form K

The TP unanimously approved this proposal.

AP128 CMA to forward approved proposal to Commission for consultation and approval.

MCCP070-CC – Data Logger Functionality

AH advised that the proposed CSD and Market Code Changes for this proposal had been circulated to the TP prior to the meeting. AH asked that any feedback on the changes was submitted no later than 24th December.

AP129 Participants to provide feedback on changes by 24th December.

MCCP071 – X,Y Flows

AH advised that no comments were received on this proposal and documentation is now included where changes to relevant CSDs are made. AH asked for comments on the changes by 24th December.

AP130 Participants to provide feedback on changes by 24th December.

MCCP072 – T016 Effective Date & T005

AH advised that this proposal was approved at the last TP meeting on the basis that there was no impact on CSDs. The CMA has identified changes required to CSDs and as such has brought this proposal back to the TP with the relevant documentation for approval. Comments on the changes were invited from the TP by 24th December.

AP131 Participants to provide comments on changes by 24th December.

MCCP040 – Transfer Cancellations by Outgoing LP

AH advised that this previously approved MCCP had been brought back to the TP as the proposed process does not work due to the proposed introduction of a table into the message field. The revised proposal allows for the table to be emailed to the CMA who will then distribute to the incoming provider. The TP agreed the CSD changes and AH advised that the change will be implemented in the March Release.

4. New Change Proposals

MCCP073 – Changes to Legal Text from MCCP037

AH advised that this proposal had been put forward by the CMA in respect of proposed changes to previously approved MCCP037. The changes relate to making the Market documents more concise and removing the reference to Dispute from the CSDs. JMCL expressed concern over removing certain sections of the text as it provides clarification. JMCL commented that the document was hard to read and it was not clear which text would remain and what text would be removed. AH agreed to update and circulate a clean copy of the proposal before the next TP meeting.

AP132 AH to update and circulate a clean copy of MCCP073 before the next TP meeting.

MCCP074 – Late Partial Escalation Process (T033)

AH advised that this proposal had been put forward by the CMA in respect of a change to the Central Systems to automate the escalation of the failure to complete Partial Registrations process. AH commented that this was a Market Audit finding and the Auditor had highlighted that automation was required. The proposal recommends that a new transaction is required to flag these and have the change implemented in the March 2011 release. JB commented that this change could potentially be expensive and it was perhaps too soon for the CMA to be looking at such a change. AH advised that the only alternative would be a Market Code change as currently the CMA has an obligation to flag these. JMCL suggested a report being sent out instead. AH advised that this currently being done in the form of the New and Partial SPIDs (NAPS) report, however this is still viewed as inefficient by the Auditor. JB commented that he didn't believe that by sending out more transactions this would urge users to act. He highlighted that this approach would create more transactions and possibly creates more work for the CMA who would be required to chase them up. DW commented that by sending out a report, the obligation on CMA is still being met, and therefore could not see the difference between transactions and a report. AH advised that the Auditor's view is that the individual SPIDs will be chased and not a list. KE asked if there was any merit in responding to the Auditor with the TP concerns. AH asked all Participants to send the CMA an email with their concerns on this proposal, including proposed alternatives to resolve the issue, which the CMA could discuss with the Auditor.

AP133 Market Participants to email their views on proposal to CMA by Friday 14th January 2011.

MCCP075 – March Release Document Updates

AH advised that this MCCP had been put forward by the CMA in relation to minor documentation changes that are required as part of the March 2011 Release. The TP unanimously approved this proposal.

AP134 CMA to forward MCCP075 to the Commission for consultation and approval

MCCP076 – CMA Business Indicators

AH advised that this proposal had been put forward by the CMA in relation to the capture and reporting of CMA Business Indicators to the Technical Panel. JMCL expressed concern that data could potentially be withheld from the TP. AH advised that this was not the case and the issue is that the wording in the Market Code is too broad and currently indicates

that the CMA will report on all activity. The change is to limit the scope and for the CMA to report only on what is agreed by the TP. AH took an action to update the text in order to provide additional clarification on the change. The proposal was approved, subject to this change in text.

AP135 CMA to update text on MCCC076 and then forward to commission for consultation and approval.

MCCC077 – New Connections – One month notice rule

JB advised that this proposal was originally an MPF paper submitted for the April MPF. He advised that the proposal was now being raised as an MCCC and was in response to an issue highlighted in the 2009/10 Market Audit. JB explained that it was previously agreed in principle at the MPF but required TP approval to take forward. The TP unanimously agreed the change.

AP136 CMA to forward MCCC077 to Commission for consultation and approval.

MCCC078 – Market Dataset Format Changes

AH advised that this MCCC had been put forward by the CMA in response to an issue which highlighted a fault in the application of the data extraction rules for the Market Data Set (MDS). AH advised that discussions with Market Participants had taken place offline and the proposed changes agreed. The TP unanimously agreed this change.

AP137 CMA to forward MCCC078 to Commission for consultation and approval.

5. Forward Plans

Issues List

AH presented the Issues list with supporting document for information. AH highlighted that a number of Issues were scheduled to be closed by the CMA as many are from pre Market opening and others are deemed to be no longer an issue. She asked that Market Participants go through the list of potentially closed issues and advise of any that they still believe are issues.

AP138 AH to confirm timescales on feedback of potential closed items.

JB highlighted that Business Stream had been undertaking some research on Issue 257 on the Issues List, and indicated that it is a much wider issue than initially perceived. AH confirmed that the CMA was aware of the issue and has put an interim process in place until the issue is fixed in the March 2011 Software Release.

Release Calendar

AH presented the Release Calendar with supporting document for information.

Workplan

AH presented the Workplan with supporting document for information.

6. Performance Statistics

CMA Business Indicators

AH presented the CMA statistics with supporting document for information.

7. Any Other Business

AH advised that based on the feedback received at the last TP meeting, a Data Working Group has been scheduled for late January. AH referred to the Terms of Reference that were issued with the TP documents and asked for Participants to provide comments on these, and in particular section four. Items for the Agenda were also requested. AH asked that all Participants advise on whom from their organisation will be attending the Working Group.

AP139 Participants to provide comments on Terms of Reference, advise who will be attending working group, and any items for the agenda.

RF Calendar

AH advised that a proposed RF calendar had been circulated with the TP papers. The proposed dates were unanimously agreed.

Action	Subject	Update
<i>From the minute of the meeting of 21st October 2010</i>		
AP115 CMA	CMA to draft data group Terms of Reference and circulate to the TP.	Complete
AP116 CMA	CMA to issue draft report on the changes in vacancy status for approval as per MCCP051.	Ongoing
AP117 MPs	Market Participants to identify how many SPIDs there are within Type 2 category.	Ongoing
AP118 JMCL	JMCL to split MCCP052 into two proposals.	Ongoing
AP119 CMA	CMA to advise on the impact of an additional flag within the Central Systems to resolve the Type 1 issues for MCCP052	Ongoing
AP120 CMA	CMA to investigate the option to use a script to	Ongoing

Action	Subject	Update
	resolve the Type 2 issues in relation to MCCP052.	
AP121 JMcl	JMcl to update Form O as discussed at the TP Meeting.	Ongoing
AP122 CMA	CMA to confirm if new rollover algorithm will be shared with participants.	Complete
AP123 CMA	JMcl to look into the implications of implementing a new site visit process for OCCP031.	Ongoing
AP124 MPs	TP members to provide feedback on MCCP071 proposal by 5 th November.	Complete
<i>From the minute of the meeting of 15th December 2010</i>		
AP125 CMA	CMA to issue separate MCCP052 documents to TP for feedback.	Complete
AP126 CMA	AH to circulate changes to OCCP030.	Complete
AP127 CMA	AH to circulate updated rollover document to TP members by 24 th December for approval.	Complete
AP128 CMA	CMA to forward approved OCCP031 proposal to Commission for consultation and approval.	Complete
AP129 MPs	Participants to provide feedback on changes to MCCP070-CC by 24 th December.	Complete
AP130 MPs	Participants to provide feedback on changes to MCCP071 by 24 th December.	Complete
AP131 MPs	Participants to provide feedback on changes to MCCP072 by 24 th December.	Complete
AP132 CMA	AH to update and circulate a clean copy of MCCP073 before the next TP meeting.	Complete
AP133 MPs	Market Participants to email their views on MCCP074 to CMA by 14 th January 2011.	Complete
AP134 CMA	CMA to forward MCCP075 to Commission for consultation and approval.	Complete
AP135 CMA	CMA to update text on MCCP076 for clarity and forward to Commission for consultation and approval	Complete
AP136 CMA	CMA to forward MCCP077 to Commission for	Complete

Action	Subject	Update
	consultation and approval.	
AP137 CMA	CMA to forward M CCP078 to Commission for consultation and approval.	Complete
AP138 CMA	CMA to confirm timescales on feedback of potentially closed issues.	Complete
AP139 MPs	Participants to provide comments on Terms of Reference, advise who will be attending working group and forward any items for the agenda.	Complete