

MARKET CODE / OPERATIONAL CODE CHANGE PROPOSAL

Form
version 2.3

Change Proposal reference
(To be completed by the TP Sec.)

MCCP211

Version No.

D.5

PART A — SUBMISSION

A.1. GENERAL DETAILS

A.1.a. TITLE Self – Suppliers

A.1.b. COMPANY CMA

Change Proposals must be authorised by the person designated by the signatory to the Market Code Framework / Accession Agreement

A.1.c. AUTHORISED
SIGNATURE

NAME

A.1.d. CONTACT NAME

Neil Cohen

CONTACT EMAIL;
TEL/MOB.

Neil.cohen@cmascotland.co.uk
0117 942 3272

A.1.e. ASSOCIATED
MCCP / OCCP

A.1.f. ASSOCIATED
DOCS.

A.1.g. PROPOSED
URGENCY

NON-URGENT

A.1.h. REASONS FOR
URGENCY

The CMA CEO will review this information and make a decision as to whether to take this MCCP / OCCP forward as urgent as defined under Market Code Part 8.9.1

A.2. M CCP / OCCP DETAILS		
A.2.a. ISSUE OR DEFECT WHICH THIS M CCP / OCCP SEEKS TO ADDRESS Required under Market Code Parts 8.7.1 (ii) (b) and 8.8.1 (ii) (b)		
<p>The current arrangements under the Market Code allow for Self-Suppliers and Specialist Suppliers to register SPIDs and for SPIDs to be transferred in to, or out from, such Suppliers. In addition, CSD0302 provides for such Suppliers to receive the full MDS, in a similar manner to other Suppliers. Commensurate with current MDS arrangements, all LPs can search on all SPIDs, or sub-sets thereof, via the LVI SPID Search and SPID View pages, or via the RA pages.</p> <p>It is understood that one or more Self-Suppliers may soon become Trading Parties and this M CCP seeks to review the above arrangements and proposes changes to better reflect the status of Self-Suppliers and Specialist Suppliers.</p> <p>Reporting Changes. This proposal seeks to make no changes to the roles and responsibilities of any parties, but does consider that it might be appropriate to limit the visibility of data to Self-Suppliers and Specialist Suppliers. It also includes a process for highlighting to the CMA instances where a SPID may be allocated to, or transferred to or from a Self-Supplier or Specialist Supplier, via suitable messages and reports.</p>		
A.2.b. DESCRIPTION OF THE NATURE AND PURPOSE OF THE M CCP / OCCP AND HOW IT MEETS THE MARKET CODE / OPERATIONAL CODE OBJECTIVES AND PRINCIPLES FOR THE MARKET DOCUMENTS Required under Market Code Parts 8.7.1 (ii) (c) and 8.8.1 (ii) (c)		
General Description		
<p>This proposal seeks to modify CSD0302 to limit the visibility of MDS data to Self-Suppliers and Specialist Suppliers, such that these types of LP only see data that pertains to their organisations. This limitation would also be extended to the LVI more generally.</p> <p>This proposal also includes a reporting facility for the CMA to ensure that the CMA is made aware of any allocation of a new SPID, or the transfer of an existing SPID that involves a Self - Supplier or Specialist Supplier.</p> <p>NOTE: A minor correction to CSD0301 is needed for the 'F' Cancellation Code, as its full description currently implies that it may only be used by an Outgoing LP, although this is not the case.</p>		
Principles and Objectives affected CMA Guidance Note GN009 may be referred to for assistance with this section		
PRINCIPLE	AFFECTED (Y/N)	DESCRIPTION
Proportionality	Y	Modest CMA CS changes are proposed that are commensurate with Party status.
Transparency	Y	Reporting will allow the CMA to be made aware of any SPID allocations to or from Self-Supply or Specialist Supply LPs.

Simplicity, Cost-effectiveness, and Security	Y	Data publication is suitably limited to matching market requirements.
Non-exclusivity	N	
Barriers to Entry	N	
Customer Contact	N	
Non-discrimination	Y	Data visibility is matched to LP status.
Non-detrimental to SW Core Functions	N	
MC / OC OBJECTIVES	N	

A.2.c. IMPACT Required under Market Code Parts 8.7.1 (ii) (d), (f) and (g), and 8.8.1 (ii) (d) and (f)		
CONFIGURED ITEM	IMPACTED (Y/N)	DESCRIPTION
MC / OC	N	
CSDs	Y	CSD0302 will be amended, as below.
Wholesale Services Agreements	N	
Licenses	N	
CMA Central Systems	Y	LVI limitations and new reports for the CMA will be required.
CMA business processes	Y	CMA will need to acknowledge new reports.
Trading Party systems	Y	
Trading party business processes	N	

A.2.d. DRAFT LEGAL TEXT
Required under Market Code Parts 8.7.1 (ii) (d) and 8.8.1 (ii) (d)

CSD0302 should be amended as follows:

Section 2.3 Extraction Rules, the first bullet point should be amended, as follows (see red text):

- **SPIDS:** For both Water and Sewerage SPIDs all SPIDs that:
 - Held “Tradable” status in the Central System at any time before the Go Live Date or have been connected on or after the Go Live Date and
 - the SPID data is “complete & consistent” in accordance with CSD0101 (Registration: New Connections and New sSupply Points);
 - With respect to Self - Supply Licensed Providers and Specialist Licensed Providers; are or have at any time been registered to such Licensed Provider, or have a transfer to such Licensed Provider pending.

Section 2.5 Detailed Record Content. For all reports, a comment should be added wherever the D2001 SPID is identified, with the following text; For Self – Supply Licensed Providers and for Specialist Licensed Providers, only those SPIDs that are or have at any time been registered to such Licensed Provider, or are subject to a pending transfer to such Licensed Provider, should be provided.

CSD0301 should be amended, as follows: Section 3.2 Valid Sets, D4005 Cancellation Code; change the Description for ‘F’, as follows (see red text); Self Supplier (~~Outgoing LP~~)

A.3. IMPLEMENTATION DETAILS

A.3.a. PROPOSED IMPLEMENTATION DATE OR LEAD TIME
Timescale must not overlap with the period of consultation with the Commission and should take account of the impacts identified in Section A.2.c. Any quoted lead time should commence from date of Approval.

September 2017

A.3.b. ANY LIMITATIONS OR DEPENDENCIES FOR IMPLEMENTATION

A.4. ANY OTHER COMMENTS

Indicative User Requirements are as follows:

UR 1 MDS. For any suitably authorised Users who are associated with a D4002 Org ID that is a Licensed Provider, with a Trading Party Type of Self – Supply or Specialist Supply, for all MDS reports to such Users, the MDS extract should only contain data relating to SPIDs that are registered to such Licensed Provider, or are subject to a pending transfer to such Licensed Provider.

UR 2 LVI SPID Search, SPID View and RA Search. For any suitably authorised Users who are associated with a D4002 Org ID that is a Licensed Provider, with a Trading Party Type of Self – Supply or Specialist Supply, any SPID that is searched for via the SPID Search, View/Edit SPID pages, or RA Search pages should return data as follows;

- Normal data return, if such SPID is or has at any time been registered to such Licensed Provider, or is subject to a pending transfer to such Licensed Provider.
- If this is not the case, a suitable message should be displayed to the User, as follows; 'Only SPIDs relating to your organisation may be viewed.'

UR 3 LVI Report for the CMA. A new Self Supply Activity Report should be created under the Reports tab, available to any suitably authorised CMA User, as follows:

UR 3.1 Content. The report should contain the following columns;

- LP. Identifying the Self – Supply, or Specialist LP that is; the D4002 Org ID contained in a T001.0, the D4002 Org ID that is the sender of a T003.1, or the Registered LP for a D2001 SPID that is identified in a T003.1
- LP Type. Being one of Self – Supply or Specialist.
- SPID. Being the D2001 included in the relevant T001.0 or T003.1
- Transaction. Being either a T001.0 or a T003.1
- Date. Being the HVI Received at Date for the Transaction.
- Comment. If the Transaction is a T003.1, this should identify whether the D4002 Org ID is the sender, or the Registered LP for the D2001 SPID.

UR 3.2 Update. A new line should be added to the report whenever there is a successful submission of;

- A T001.0 with the D4002 Org ID which is a Licensed Provider with an LP Type of Self – Supply or Specialist Supply,
- A T003.1 where the sender is an LP with an LP Type of Self – Supply or Specialist Supply, or
- A T003.1 where the Registered LP for the D2001 SPID is an LP with an LP Type of Self – Supply or Specialist Supply.

PART B — TP ASSESSMENT

B.1. ASSESSMENT PROCESS

B.1.a. ASSESSMENT START DATE	2017-02-09	ASSESSMENT END DATE	2017-03-09
B.1.b. IMPACT ASSESSMENT REQUIREMENT	IA REQUIRED		
B.1.c. CONSULTATION REQUIREMENT	TP CONSULTATION NOT REQUIRED		
B.1.d. ASSOCIATED DOCUMENTS (to this Part B)			

B.2. ASSESSMENT DETAILS

B.2.a. CHANGE SPEC AND IMPACT (IF DIFFERENT FROM THAT ORIGINALLY SUBMITTED)

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B.2.b. CMA INTERNAL SYSTEMS IMPACT

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B.2.c. DRAFT LEGAL TEXT (if different from that originally submitted)

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B.2.d. TP ASSESSMENT Taking into account complexity, importance and urgency, and having regard to whether or not such proposal is within the relevant Objectives and Principles as required under Market Code Parts 8.7.1 (v) and 8.8.1 (iv)

Impact on Principles and Objectives (if different from that originally submitted)	
Cost Estimate	£27k
Benefit Estimate (L: < 10k, M: £10k to £100k, H: > £100k)	Avoids discrimination. Assume: M.

B.3. TP DECISION

B.4. FINAL TP VIEWS	Unanimously approved
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B.5. PLANNED IMPLEMENTATION DATE

B.5. PLANNED IMPLEMENTATION DATE	September 2017.
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WITHDRAWN BY PROPOSER?	NO
COMMENTS	
DATE OF WITHDRAWAL	

PART C — COMMISSION APPROVAL

C.1. DATE FINAL REPORT ISSUED TO COMMISSION	2017-05-03
C.2. APPROVAL STATUS	APPROVED CHANGE
C.3. DATE OF APPROVAL STATUS	2017-05-17
C.4. COMMISSION RESPONSE REFERENCE	

PART D — IMPLEMENTATION

D.1. IMPLEMENTATION DATE	2017-09-28
D.2. IMPLEMENTATION DETAILS (MC version, CSD versions, CMA Central Systems release number, etc.)	
CSD0301 v16 CSD0302 v9	