

# MARKET CODE / OPERATIONAL CODE CHANGE PROPOSAL

Form  
version 2.1

Change Proposal reference  
(To be completed by the TP Sec.)

**MCCP220**

Version No.

**C.1**

## PART A — SUBMISSION

### A.1. GENERAL DETAILS

A.1.a. TITLE New SPID processing timescales

A.1.b. COMPANY Business Stream

Change Proposals must be authorised by the person designated by the signatory to the Market Code Framework / Accession Agreement

A.1.c. AUTHORISED  
SIGNATURE

NAME

A.1.d. CONTACT NAME

Duncan Innes

CONTACT EMAIL;  
TEL./MOB.

Duncan.innes@business-  
stream.co.uk  
0131 338 3259

A.1.e. ASSOCIATED  
MCCP / OCCP

A.1.f. ASSOCIATED  
DOCS.

A.1.g. PROPOSED  
URGENCY

NON-URGENT

A.1.h. REASONS FOR  
URGENCY

The CMA CEO will review this information and make a decision as to whether to take this MCCP / OCCP forward as urgent as defined under Market Code Part 8.9.1

A.2. MCCP / OCCP DETAILS

A.2.a. ISSUE OR DEFECT WHICH THIS MCCP / OCCP SEEKS TO ADDRESS  
Required under Market Code Parts 8.7.1 (ii) (b) and 8.8.1 (ii) (b)

This paper seeks to improve the processes for the Registration of New Connections and New Supply Points process set out in CSD0101 in the following ways:

- Creating greater consistency between the New Connections and New Supply Points processes
- Setting more reasonable timescales for certain steps of the processes, where these would not create any undue delays.
- Removing requirements that are no longer necessary due to previous system improvements.

The proposals in the paper are the same as those presented at the August 2017 MPF.

A.2.b. DESCRIPTION OF THE NATURE AND PURPOSE OF THE MCCP / OCCP AND HOW IT MEETS THE MARKET CODE / OPERATIONAL CODE OBJECTIVES AND PRINCIPLES FOR THE MARKET DOCUMENTS  
Required under Market Code Parts 8.7.1 (ii) (c) and 8.8.1 (ii) (c)

General Description

There are 3 aspects of the CSD0101 process that are covered by this paper:

**New SPID processing**

When a new SPID is created by the CMA, the LP is sent a T002.1 transaction to notify them of this. The LP must then respond by either sending a T003 Partial Registration transaction to accept this, or a T009.2 to reject it. CSD0101 sets out different timescales for doing this, according to the type of SPID and response:

- If it is a gap site or change of use SPID, then the response must be sent within 1 BD after receiving the T002.1.
- If it is a new connection, and the LP is rejecting it, the T009.2 must be sent within 2BDs.
- If it is a new connection, and the LP is accepting it, the T003 must be sent within 5 BDs.

The proposal is that the LP should send its response to a T002.1 within 5 BDs, regardless of Connection Type and whether the SPID is being accepted or rejected.

**Gap Site requests**

CSD0101 states that when an LP identifies a gap site, they should notify SW of this within 1BD. This is a very short turnaround time, and it would be better to ensure that LPs have enough time to validate all the details and make all reasonable effort to contact the customer before sending the details to SW. We therefore propose that this should be changed to 10 BDs.

**Connection Date confirmation**

Currently, the party responsible for notifying the Connection Date to the CMA (which can be either SW or the LP) is required to do so between 10 and 15 Business Days after the T002.1. The minimum date is not helpful from a process point of view, and was originally set due to the Central Systems needing data for new SPIDs to be submitted in a particular order. As the system requirement has now been removed, we propose that the minimum date and the instruction about the order of data submission should also be removed.

Principles and Objectives affected		
PRINCIPLE	AFFECTED (Y/N)	DESCRIPTION
Proportionality	N	
Transparency	N	
Simplicity, Cost-effectiveness, and Security	Y	The changes proposed would enable the more efficient processing of new SPIDs.
Non-exclusivity	N	
Barriers to Entry	N	
Customer Contact	N	
Non-discrimination	N	
Non-detrimental to SW Core Functions	N	
MC / OC OBJECTIVES		

A.2.c. IMPACT Required under Market Code Parts 8.7.1 (ii) (d), (f) and (g), and 8.8.1 (ii) (d) and (f)		
CONFIGURED ITEM	IMPACTED (Y/N)	DESCRIPTION
MC / OC	N	
CSDs	Y	Drafting changes to CSD0101 as set out below.
Wholesale Services Agreements	N	
Licenses	N	
CMA Central Systems	N	
CMA business processes	N	
Trading Party systems	N	
Trading party business processes	Y	Certain requirements would be removed or made more consistent, making these processes more efficient.

**CSD0101**

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**Submit Error Notification [T009.2]**

Where a Licensed Provider considers they are not the Licensed Provider involved in the New Connection, that Licensed Provider shall submit notification of such to the CMA within 52 Business Days of the CMA issuing the [Data Transaction] T002.0 (Notify New SPID), The Licensed Provider will submit Data Transaction T009.2 (Error/Notification).

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**Step a: Identify Entry Change of Use or Gap Site**

Within 10 Business Days of becoming aware of a Gap Site or an Entry Change of Use (other than at a Sewerage Services only Supply Point which is to be subject to Re-assessed Charges, which is covered in CSD0104 Section 7), the Licensed Provider will notify Scottish Water of the New Supply Point(s) and the reason for the requirement (i.e. Entry Change of Use or Gap Site).

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**Steps d and e: Process Partial Registrations [T003.0, T009.2]**

Where a Licensed Provider(s) considers that they are involved in the New Supply Point, they shall submit a Partial Registration Application using Data Transaction T003.0 within 54 Business Days of receiving the T002.0 (Notify New SPID).

Where a Licensed Provider considers they are not involved, that Licensed Provider shall submit notification of such to the CMA within 54 Business Days of the CMA issuing the T002.0 (Notify New SPID) using the Data Transaction T009.2 (Error/Notification). The CMA will then seek clarification from Scottish Water using Data Transaction T009.1 (Error/Notification), who shall correct the notification of the Licensed Provider for that Supply Point(s) within 1 Business Day by issuing another T001.0 which shall include the Supply Point(s) and the appropriate Licensed Provider. In the event that Scottish Water has no other information than that already notified to the CMA, they shall advise the CMA of this and the CMA shall escalate the matter to the Licensed Provider's Contract Manager.

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**Step d: Submit Partial Registrations [T003.0]**

The Licensed Provider(s) shall submit a Partial Registration Application using Data Transaction T003.0 (Partial Registration Application) within 54 Business Days of receiving the T002.0.

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**Step I: Submit Connection Data**

~~This process must be carried out after SW provides Service Element Data (step f) and/or Meter Data (step g) as applicable.~~ Scottish Water is always responsible for providing the Connection Date at a Water Services Supply Point. Where a Sewerage Services Supply Point has an associated Water Point that has not yet been made Tradable, the Connection Date notified by Scottish Water at the associated Water Services Supply Point will also be used for the Sewerage Services Supply Point.

**New Supply Points:**

Scottish Water will, ~~no earlier than 10 Business Days and no later than 15 Business Days of receiving the T002.1 (Notify New SPID)~~, confirm the Connection Date of a Water Services Supply Point to the CMA using the Data Transaction T007.0 (Notify Connection Complete (WS)) within 15 Business Days of receiving the T002.1 (Notify New SPID). As above, this Connection Date will also be used for any associated Sewerage Service Supply Point which is not already Tradable. The Connection Date for the New Supply Point(s) shall be 5 Business Days after the Data Transaction T002.1 (Notify New SPID).

When a meter has been notified to the CMA and Scottish Water has submitted an I Read, the T007.0 will set the meter effective from date and the I Read date to equal the latter of the I Read date or the SPID Connection Date.

In the event that only Sewerage Services are provided at the New Supply Point or the associated Water Service Supply Point has already been made Tradable, then the Sewerage Services Licensed Provider will ~~no earlier than 10 Business Days and no later than 15 Business Days of receiving the T002.1 (Notify New SPID)~~, notify the CMA of the Connection Date using Data Transaction T007.1 (Notify Connection (SS)) within 15 Business Days of receiving the T002.1 (Notify New SPID).

**A.3. IMPLEMENTATION DETAILS**

**A.3.a. PROPOSED IMPLEMENTATION DATE OR LEAD TIME**

Timescale must not overlap with the period of consultation with the Commission and should take account of the impacts identified in Section A.2.c. Any quoted lead time should commence from date of Approval.

2017-12-31

**A.3.b. ANY LIMITATIONS OR DEPENDENCIES FOR IMPLEMENTATION**

**A.4. ANY OTHER COMMENTS**

## PART B — TP ASSESSMENT

### B.1. ASSESSMENT PROCESS

B.1.a. ASSESSMENT START DATE	2017-10-04	ASSESSMENT END DATE	2017-10-19
B.1.b. IMPACT ASSESSMENT REQUIREMENT		IA NOT REQUIRED	
B.1.c. CONSULTATION REQUIREMENT		TP CONSULTATION NOT REQUIRED	
B.1.d. ASSOCIATED DOCUMENTS (to this Part B)			

### B.2. ASSESSMENT DETAILS

B.2.a. CHANGE SPECIFICATION AND IMPACT (if different from that originally submitted)

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B.2.b. DRAFT LEGAL TEXT (if different from that originally submitted)

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B.2.c. TP ASSESSMENT  
Taking into account complexity, importance and urgency, and having regard to whether or not such proposal is within the relevant Objectives and Principles as required under Market Code Parts 8.7.1 (v) and 8.8.1 (iv)

Impact on Principles and Objectives (if different from that originally submitted)	
Cost Estimate	
Benefit Estimate (L: < 10k, M: £10k to £100k, H: > £100k)	

B.3. TP DECISION TP APPROVED

B.4. FINAL TP VIEWS Unanimously approved 19/10/17

B.5. PLANNED IMPLEMENTATION DATE 2018-02-01

WITHDRAWN BY PROPOSER?	YES / NO
COMMENTS	
DATE OF WITHDRAWAL	

**PART C — COMMISSION APPROVAL**

C.1. DATE FINAL REPORT ISSUED TO COMMISSION	2017-11-02
C.2. APPROVAL STATUS	APPROVED CHANGE
C.3. DATE OF APPROVAL STATUS	yyyy-mm-dd
C.4. COMMISSION RESPONSE REFERENCE	

**PART D — IMPLEMENTATION**

D.1. IMPLEMENTATION DATE	February 2018
D.2. IMPLEMENTATION DETAILS (MC version, CSD versions, CMA Central Systems release number, etc.)	