

MARKET CODE / OPERATIONAL CODE CHANGE PROPOSAL

Form
version 2.1

Change Proposal reference
(To be completed by the TP Sec.)

MCCP232

Version No.

D.1

PART A — SUBMISSION

A.1. GENERAL DETAILS

A.1.a. TITLE September 2018 CSD Drafting

A.1.b. COMPANY CMA

Change Proposals must be authorised by the person designated by the signatory to the Market Code Framework / Accession Agreement

A.1.c. AUTHORISED SIGNATURE NAME Neil Cohen

A.1.d. CONTACT NAME Neil Cohen CONTACT EMAIL;
TEL/MOB. 0117 942 3272

A.1.e. ASSOCIATED
MCCP / OCCP

A.1.f. ASSOCIATED
DOCS. Mark Ups of; CSD0301 and CSD0302.

A.1.g. PROPOSED
URGENCY NON-URGENT

A.1.h. REASONS FOR
URGENCY

The CMA CEO will review this information and will make a decision as to whether to take this MCCP / OCCP forward as urgent as defined under Market Code Part 8.9.1

A.2. MCCP / OCCP DETAILS

**A.2.a. ISSUE OR DEFECT WHICH THIS MCCP / OCCP SEEKS TO ADDRESS
Required under Market Code Parts 8.7.1 (ii) (b) and 8.8.1 (ii) (b)**

The purpose of this MCCP is to capture final refinements to the Market Code and Code Subsidiary Documents (CSDs), required as a consequence of the development of the September 2018 Release and to consolidate the required Market Code and CSD changes which have already been captured in the Approved Changes that form the scope of that Release. The MCCP also picks up inconsistencies and or gaps identified from previously Approved Changes.

It should be noted that changes to support MCCP227 – CC (SPID Temporary Transfers) have already been delivered and are not identified under this MCCP as part of the release consolidation.

It should also be noted that the changes identified in MCCP230 (Multi-Occupancy) have not been incorporated into this MCCP, but will be delivered alongside this MCCP, subject to approval.

**A.2.b. DESCRIPTION OF THE NATURE AND PURPOSE OF THE MCCP / OCCP AND HOW IT MEETS THE MARKET CODE / OPERATIONAL CODE OBJECTIVES AND PRINCIPLES FOR THE MARKET DOCUMENTS
Required under Market Code Parts 8.7.1 (ii) (c) and 8.8.1 (ii) (c)**

General Description

The Market Code and CSD changes proposed in this MCCP are required as a natural consequence of developing the Approved Changes for the September 2018 Release. In particular, not implementing this change would leave gaps in the Market Code and/or CSDs. However, it should be noted that the changes described in this MCCP are to ensure that the intent of the Approved Changes that are pertinent to the September 2018 Release are fully reflected in the Market Code and CSDs.

Principles and Objectives affected

PRINCIPLE	AFFECTED (Y/N)	DESCRIPTION
Proportionality	Y	Aids implementation of Approved Changes
Transparency	Y	Removes gaps in Market Code and/or CSD drafting for the Approved Changes pertinent to the September 2018 Release.
Simplicity, Cost-effectiveness, and Security	N	
Non-exclusivity	N	
Barriers to Entry	N	
Customer Contact	N	
Non-discrimination	N	
Non-detrimental to SW Core Functions	N	

MC / OC OBJECTIVES	N	
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A.2.c. IMPACT Required under Market Code Parts 8.7.1 (ii) (d), (f) and (g), and 8.8.1 (ii) (d) and (f)		
CONFIGURED ITEM	IMPACTED (Y/N)	DESCRIPTION
MC / OC	N	
CSDs	Y	This is the primary purpose of this M CCP. See draft legal text Section.
Wholesale Services Agreements	N	
Licenses	N	
CMA Central Systems	N	
CMA business processes	N	
Trading Party systems	N	
Trading party business processes	N	

A.2.d. DRAFT LEGAL TEXT Required under Market Code Parts 8.7.1 (ii) (d) and 8.8.1 (ii) (d)	
<p>The purpose of this M CCP is to consolidate those changes being made to the Market Code and Code Subsidiary Documents arising from the September 2018 Release. In addition, the proposal includes minor refinements which have not already been captured in M CCPs.</p> <p>The additional changes are:</p> <ul style="list-style-type: none"> • CSD0301 – The CSD has been further updated to reflect: <ul style="list-style-type: none"> ○ Corrections; The D2025 Data Item should be listed alphabetically and should be re-titled in the valid entry table, as required by M CCP227 - CC. ○ New Error Codes should be added to as follows; 	
New Error Code	Error Message
EH	SPID must not have an associated Water SPID when specifying a landlord SPID.
EK	Landlord SPID must exist in CMA CS.

EL	Landlord SPID must be Tradeable or TDISC.
EM	Landlord SPID must be a Water SPID.
ES	Landlord SPID must have an SAA Reference Number Absence Code of MT.
EV	Landlord SPID must not be provided when the landlord SPID flag is false.
EW	Effective From date must not pre-date earliest PPDISC date.
EZ	Effective From date must be on or after 2017-04-01.
FE	Landlord SPIDs must not be unmeasurable.
FI	Submitted SPID Status must be REC or TDISC.
FL	Landlord SPID must be provided when the landlord SPID flag is True.
FY	Landlord SPID Flag must be included and set to True when specifying a Landlord SPID.
GD	SPID must be a WS SPID, or an SS SPID with no associated WS SPID at any time from the Effective From Date.
HF	SPID must be continuously Tradable from the Effective From Date.
HG	Associated SPID must have been Tradable and/or PDISC and/or DEREG from the Effective From Date.
HH	Submitted SPID Status must be REC, PDISC, or DEREG.
HI	Associated SPID must be Tradable and/or TDISC and/or PDISC and/or DEREG from the Effective From Date
HJ	SPID Status must be TTRAN.
HX	Org ID does not exist in CMA CS.
IA	Org ID must be a valid LP.
ID	SPID Status must not be TTRAN.

KT	Connection Type must not be TTE.
KW	Landlord SPIDs must not be PDISC.
KX	Landlord SPIDs must not be DEREK.
KY	For Future Use.
KZ	Submitted SPID Status must be REC or DEREK.
LA	Submitted SPID Status must be REC or PDISC.
<ul style="list-style-type: none"> ○ Existing Error Codes should be modified as follows; 	
Existing Error Code	Modified Error Message
EO	SPID status must be TDISC or TTRAN.
<ul style="list-style-type: none"> • CSD0301 Annex – The Annex contains raw XML from the CMA CS code. This is generated directly from the code once the new version of the code is accepted by the CMA. 	
A.3. IMPLEMENTATION DETAILS	
A.3.a. PROPOSED IMPLEMENTATION DATE OR LEAD TIME Timescale must not overlap with the period of consultation with the Commission and should take account of the impacts identified in Section A.2.c. Any quoted lead time should commence from date of Approval.	
A.3.b. ANY LIMITATIONS OR DEPENDENCIES FOR IMPLEMENTATION Depends upon the implementation of the Approved Changes for the September 2018 Release which triggered this MCCP.	
A.4. ANY OTHER COMMENTS	

PART B — TP ASSESSMENT

B.1. ASSESSMENT PROCESS

B.1.a. ASSESSMENT START DATE	2018-07-04	ASSESSMENT END DATE	2018-08-23
B.1.b. IMPACT ASSESSMENT REQUIREMENT	IA NOT REQUIRED		
B.1.c. CONSULTATION REQUIREMENT	TP CONSULTATION NOT REQUIRED		
B.1.d. ASSOCIATED DOCUMENTS (to this Part B)			

B.2. ASSESSMENT DETAILS

B.2.a. CHANGE SPECIFICATION AND IMPACT (if different from that originally submitted)

N/A

B.2.b. DRAFT LEGAL TEXT (if different from that originally submitted)

B.2.c. TP ASSESSMENT Taking into account complexity, importance and urgency, and having regard to whether or not such proposal is within the relevant Objectives and Principles as required under Market Code Parts 8.7.1 (v) and 8.8.1 (iv)

Impact on Principles and Objectives (if different from that originally submitted)

N/A

Cost Estimate

£0

Benefit Estimate (L: < 10k, M: £10k to £100k, H: > £100k)

M (enables the CMA Feb 2018 Release)

B.3. TP DECISION

APPROVED

B.4. FINAL TP VIEWS

Unanimously approved by the TP 23rd August 2018

B.5. PLANNED IMPLEMENTATION DATE

27 September 2018

WITHDRAWN BY PROPOSER?	No
COMMENTS	
DATE OF WITHDRAWAL	

PART C — COMMISSION APPROVAL

C.1. DATE FINAL REPORT ISSUED TO COMMISSION	2018-09-05
C.2. APPROVAL STATUS	APPROVED CHANGE
C.3. DATE OF APPROVAL STATUS	2018-09-24
C.4. COMMISSION RESPONSE REFERENCE	

PART D — IMPLEMENTATION

D.1. IMPLEMENTATION DATE	27 September 2018
D.2. IMPLEMENTATION DETAILS (MC version, CSD versions, CMA Central Systems release number, etc.)	