

COMMISSION CHANGE PROPOSAL

Form
version 2.1

Change Proposal reference
(To be completed by the TP Sec.)

MCCP244-CC

Version No.

D.1

SUBMISSION

GENERAL DETAILS

TITLE

Access to Market Data

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MCCP / OCCP DETAILS

ISSUE OR DEFECT WHICH THIS MCCP / OCCP SEEKS TO ADDRESS

In July 2019 the Commission launched a consultation on Changes to the Market Framework, including a review of matters pertaining to License fees and the Draft Determination was issued in September 2019.

In this regard, The Commission has concluded that it would not be consistent with its principles of Ethical Based Regulation to allow Licensed Providers access to the full market data for an increased license fee. The Commission believes that it should instead restrict access to the Market Data Set (MDS) until market participants have evidenced their commitment to participate in the market.

In line with Ethical Based Regulation principles, the Commission has made an initial decision to restrict access, in each FY, to the MDS until a Licensed Provider has registered a minimum of 499 Supply Points or 0.1% of total market volumes.

DRAFT LEGAL TEXT

Required under Market Code Parts 8.7.1 (ii) (d) and 8.8.1 (ii) (d)

CSD0302 should be modified as follows (changes are given in red text):

Section 2.2 Timetable and Distribution

The CMA shall provide the Report each day via the LVI. ~~A monthly version of the report will also be provided via the LVI on the first day of each month. Each monthly report will be held and be available for three months via the LVI.~~ The Report for the first day of the current month and those for the first days of the previous 5 months will also be provided. The CMA shall also provide the above on a secure data storage area for Trading Parties, on request.

Section 2.3 Extraction Rules (for the MDS)

The extraction rules are:

- **SPIDS:** For both Water and Sewerage SPIDs all SPIDs ~~that~~:
 - ~~That~~ held “Tradable” status in the Central System at any time before the Go Live Date or have been connected on or after the Go Live Date and
 - ~~For which~~ the SPID data is “complete & consistent” in accordance with CSD0101 (Registration: New Connections and New Supply Points);
 - ~~That~~, with respect to **Licensed Providers that have at no time, in a given Financial Year, had either at least 499 Supply Points registered to them, or at least 0.1% of market share by volume (including Trade Effluent volumes) as**

calculated in any published R1 settlement run, Self - Supply Licensed Providers and Specialist Licensed Providers; are registered to such Licensed Provider.

Section 2.5 Detailed Record Content

For each report definition, the D2001_SPID or D2035_Main SPID selection notes should be modified as follows:

With respect to LPs that have at no time, in a given Financial Year, had either at least 499 Supply Points registered to them, or at least 0.1% of market share by volume (including Trade Effluent volumes) as calculated in any published R1 settlement run, Self-Supply LPs and Specialist LPs; only those SPIDs that are registered to such LP.

IMPLEMENTATION DETAILS

TP ACTION REQUIRED

NONE

REQUIRED IMPLEMENTATION DATE

March 2020

ANY LIMITATIONS OR DEPENDENCIES FOR IMPLEMENTATION

Indicative User Requirements for the supporting CMA CS changes are as follows:

UR 1 Data Items. The following new data item, that will be internal to the CMA CS, should be established for all LPs:

- Data Item Name. Full Data Access Effective Date (FD EFD).
- Data Type. Date

UR 2 LVI – Trading Party Details Screen (*TP URS Section 2.1/UR 2.2*).

UR 2.1 For LPs. The FD EFD should be displayed below the D4014 field, for all LPs, if it exists.

UR 2.2 For CMA and SW. The FD EFD should be displayed as the market opening date. (2008-04-01).

UR 3 Processing for the FD EFD (*TP URS Section 2.1/new UR*). The following rules should be applied to all LPs and the FD EFD established and maintained accordingly:

UR 3.1 The FD EFD should be empty for:

- Self-Supply LPs
- Specialist LPs

UR 3.2 For other LPs (including new entrant LPs). In a given FY, the FD EFD should be the earliest of:

- The CMA CS system date on which the LP SPID Count identifies the first day on which the No. of SPIDs registered to the LP is calculated by the CMA CS as being 499 (which will be a configurable value) or greater.

- The R1 settlement publication date for the first published R1 in which the market share by volume, including TE, is strictly 0.1% (which will be a configurable value) or greater (i.e. 0.1000000000% or more, as calculated by the CMA CS).
- The FD EFD from the prior FY, if it exists, but only for the period from the start of the given FY until the publication date of the April R1.
- Otherwise, the FD EFD should be empty.

UR 3.2 ~~For other LPs. In a given FY, the FD EFD should be the earliest of:~~

- ~~The first day on which the No. of SPIDs registered to the LP is calculated by the CMA CS as being 499 or greater.~~
- ~~The R1 settlement publication date for the first published R1 in which the market share by volume, including TE, is strictly 0.1% or greater (i.e. 0.1000000000% or more, as calculated by the CMA CS).~~
- ~~The FD EFD from the prior FY, if it exists, but only for the period from the start of the given FY until the publication date of the April R1.~~
- ~~Otherwise, the FD EFD should be empty.~~

UR 4 MDS. (LVI URS/UR 8 MDS). This UR should be modified as follows (red text):

UR 4.1 (LVI URS/UR 8.1 Access). Any suitably authorised Users may view the MDS for all participants, **except for the following**, who should only see data for their own organisations:

- Suitably authorised Users of Self-Suppliers and Specialist Suppliers.
- **Suitably authorised Users of LPs that have not got an FD EFD identified against them.**

UR 4.2 (LVI URS/UR 8.2 Content). The MDS should include the data as specified in CSD0302 **for the current day and that data should be retained for six months.**

UR 4.3 (LVI URS/UR 8.3 Export). ~~Default export arrangements should apply~~ **The MDS should be downloadable in a ZIP file format that will contain txt files for the different MDS report files.**

exportable in txt file format.

UR 5 Implementation. A one-off script should be developed and executed, as follows:

UR 5.1 Title: Initial FD EFDs

UR 5.2 Processing:

- Step 1: The No. of SPIDs registered to each LP will be checked for the current day and for each preceding day within the current FY, until a day is found in which the No. of SPIDs registered is 499 or greater, or until all days in the FY have been checked.
- Step 2: If a day is found in which the No of SPIDs registered is 499 or greater, the FD EFD should be set to the current day.
- Step 3: If no such day is found, published R1s for the current FY should be checked (going back in time), until market share by volume (including TE) at 0.1% or greater is found (subject to rounding as above), or until all published R1s for the current FY have been checked.
- Step 4: If a market share (by volume, including TE) that is 0.1% or greater is found, the FD EFD should be set to the current day.
- Step 5: For all remaining LPs, no FD EFD should be set.

UR 5.3 Reporting: A report (exportable as an Excel file) should be produced identifying:

- All LPs with no FD EFD.
- LPs with an FD EFD, as a result of the No of SPIDs being 499 or more, along with the No of SPIDs value.

- LPs with an FD EFD, as a result of market share being 0.1% or more, along with the market share value.

UR 6 Audit. An audit record of the follow should be maintained:

- All FD EFDs for each LP
- The basis for the setting of the FD EFDs (whether SPID count or Market share and the calculated values thereof)
- Dates when an FD EFD was removed

ANY OTHER COMMENTS

IMPLEMENTATION

IMPLEMENTATION DATE

26 March 2020

IMPLEMENTATION DETAILS

(MC version, CSD versions, CMA Central Systems release number, etc.)

[CSD0302 v14](#)